



# **WAY Life Settlements Fund**

## **SWITCH APPLICATION FORM**

- **For use by individuals or trustees who wish to switch their unit holding from existing WAY portfolios to the WAY Life Settlements Fund**

**As a separately managed fund in a separate jurisdiction, formal application to the Guernsey authorities is required**

# WAY Life Settlements Fund – Switch Application Form

To: WAY Fund Managers Limited and the Directors of EEA Life Settlements Fund PCC Limited

I/We, the applicant(s) named in Section 1 below, hereby wish to sell the WAY Fund Shares and offer irrevocably to subscribe in the WAY Life Settlements Fund Cells of the Company, as stated in Section 2 below on the terms of this application form and the latest Offering Memorandum and supplement and subject to the Memorandum and Articles of Association of the Company and subject to the laws of Guernsey.

## Section 1 - Investor(s) Existing Shareholder(s)

Yes  No

Holder 1

Holder 2

Name:

Name:

Occupation

Occupation

Date of Birth

Date of Birth

Previous names (if any)

Previous names (if any)

Holder 3

Holder 4

Name:

Name:

Occupation

Occupation

Date of Birth

Date of Birth

Previous names (if any)

Previous names (if any)

**Holder 1 Registered Address:**

**Correspondence Address:**

WAY Investment Services Limited

3 Cedar House, Cobham Road

Wimborne, Dorset BH21 7SB

Postcode:

## Section 2 - Switch Instructions

	Share Class	Value
From: (WAY Fund)	Income/Accumulation	(No. of shares/units)
To: WAY Life Settlements Fund	Roll-up	£ (to be completed by WAY)

## Section 3 - Bank Account Details (Registered Holder account for redemption proceeds)

Name and full address of Bank/Building Society

Sort Code

Account Name

Account Number

## Section 4 - Declaration of Undertakings and Consents

By completing and delivering an Application Form each applicant undertakes to the Company as follows:

- the application and any issue of Shares made on and subject to the terms and conditions of the latest Offering Memorandum and supplement, the Application Form and the Articles;
- the application for Shares is based solely upon the information in this document and no other information or representation has been relied upon;
- the applicant acknowledges a copy of the latest annual accounts, if any, has been made available to him before the application;

Personal information contained in the Application Form will be handled by the Administrator acting as Data Processor on behalf of the Company in accordance with Guernsey Data Protection Legislation. The applicant hereby consents to the processing of his information and disclosure of his information to any relevant Guernsey or overseas agency, the Manager, the Investment Adviser and where necessary or in the Company's or Administrator's legitimate interests to any company in the Administrator's or Manager's group of companies or agents of the Administrator including companies situated in countries which may not have the same data protection laws as Guernsey.

Signed in the order as in Section 1

Holder 1

Holder 2

The EEA Life Settlements PCC Fund Limited is an open ended investment protected cell company governed by the provisions of The Companies (Guernsey) Laws, 2008, as amended. The Company is managed by EEA Fund Management (Guernsey) Limited. The Company is not authorised or otherwise approved by the United Kingdom Financial Services Authority and as an unregulated collective investment scheme, it cannot be marketed in the United Kingdom to the general public except to persons authorised under the Financial Services and Markets Act 2000 (the "Act") and other categories of investors to whom unregulated collective investment schemes can be marketed without contravention of the Act. Moreover, the protections offered by the Act do not apply to the Company. Investors in the Company are not eligible for any compensation under the Collective Investment Schemes (Compensation of Investors) Rules, 1988 made under the Law.

## Section 5 - General Introducer Certificate (must be completed by Introducing IFA)

The Introducer certifies that it is a Guernsey Licensed financial services business or an Appendix C financial services business and in respect of this investment it has obtained and holds the verification required to satisfy all Regulations on the Countering of Financial Crime and the Financing of Terrorism issued by the Guernsey Financial Services Commission or an equivalent Regulatory Authority, as updated from time to time. The information disclosed for this investment by the Introducer accurately reflects the information held and is being given for initial investment and maintenance purposes only. The Intoducer undertakes to supply certified copies or originals of the verification documentation upon request without delay (subject to the terms of our letter of understanding).

<b>Does the Introducer consider the investors to be or associated with a Politically Exposed Person?*</b>	<b>YES / NO (delete as appropriate)</b>
<b>If YES, please supply certified copies of all due diligence with this certificate.</b>	

\* Politically Exposed Persons:

AND

- Heads of State or Government

- Parent

- Senior Politicians

- Spouse/Partner

- Senior Government Officials

- Child

- Senior Members of the Judiciary

- Sibling

- Senior Military Officers

- Parent-in-Law

- Senior Executives of State Owned Corporate Bodies

- Grandchild, or

- Close associate of and PEP

IFA Signature:	
IFA Full Name:	
Official Position:	
Date:	
IFA Firm Name:	
IFA Firm Address:	
	Postcode:

**Correspondence Address:**  
WAY Investment Services Limited,  
3 Cedar House, Cobham Road,  
Wimborne, Dorset  
BH21 7SB

**Registered Address:**  
EEA Life Settlements Fund PCC Limited  
International Administration (Guernsey) Limited  
PO Box 282, Regency Court, Glatigny Esplanade,  
St. Peter Port, Guernsey, Channel Islands  
GY1 3RH