

EFA New Horizon Fund

EFA New Horizon Fund Stocks and Shares ISA

APPLICATION FORMS

1. Personal Details (BLOCK CAPITALS please)

Title	Surname
First Name(s)	
Permanent Address	
Postcode	
Date of Birth	
Telephone (daytime)	
Telephone (evening)	

2. Personal Details of Joint Applicant

Title	Surname
First Name(s)	
Permanent Address	
Postcode	
Date of Birth	

Additional joint applicants (a maximum of 4 in total) must each sign and attach name and address details separately. Shares cannot be registered in the name of a minor. Shares should be registered in the name of an adult and designated with the minor's initials (eg. John Smith – account PBS). On attaining the age of majority, shares can be transferred to the designee upon receipt of the appropriate documentation.

3. Investment Details

New Horizon Sub Fund	Share Type	Lump Sum Class B Shares (min £5,000 per sub-fund)	Monthly Savings Class B Shares (min £100 per sub-fund)
Cautious Fund	Income	£	£
High Income Fund	Income	£	£
Income and Growth Fund	Income	£	£
Growth Fund	Income	£	£
Total Investment		£	£

4. Income

You have the option to reinvest any income generated, to purchase further shares in the relevant fund(s). If you wish to reinvest your income, please tick this box

If you have not elected to have your income reinvested, you must complete the following boxes to indicate where any income should be paid, as all income is paid by BACS direct credit. *Please note that you should ensure that the bank/building society account detailed can accept payments by this method.*

Name and full address of Bank/Building Society	Sort Code
	Account Name
	Account Number

Account must be in the name(s) of the Applicant(s). Documented proof of this must be sent with this application.

5. Declaration

- I/We confirm that I am/we are over 18 years of age.
- I/We confirm I/we have received, read and retained a printed copy of the relevant Simplified Prospectus and that I/we have been offered a copy of the Prospectus and the Authorised Corporate Director's Report for the EFA New Horizon Fund.
- I understand that Elite Fund Administration may require further information from me, or about me, to satisfy the requirements of the Money Laundering Regulations in force.

Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook, cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate Customer Agreement with you or dealt on your behalf on an execution-only basis. A copy of the Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If Cancellation Rights do apply please tick this box

Data Protection Act

The details you have provided will be held on computer by Elite Fund Administration, but will not be used for any purpose except to fulfil its obligations to shareholders..

First Applicant's Signature	Date
Second Applicant's Signature	Date

1. Personal Details (BLOCK CAPITALS please)

Title	Surname	Forename(s)
Permanent Residential Address		
Postcode		
National Insurance Number*	If you do not have a National Insurance Number, please tick this box <input type="checkbox"/>	
Date of Birth*		
Telephone (daytime)	*Your National Insurance Number (NINO), or National Pension Number and Date of Birth must be quoted. Your NINO should be available on either your payslip, form P45 or P60, a letter from HM Revenue & Customs (or DWP), or on your pension order book.	
Telephone (evening)		

2. Investment Details

New Horizon Sub Fund	Share Type	Lump Sum Class B Shares (min £5,000 per sub-fund)	Monthly Savings Plan Class B Shares (min £100 per sub-fund)
Cautious Fund	Income	£	£
High Income Fund	Income	£	£
Income and Growth Fund	Income	£	£
Growth Fund	Income	£	£
Total Investment		£	£
Tax Year 20 / 20	and for each subsequent tax year until further notice.		

3. Income

You have the option to reinvest any income generated, to purchase further shares in the relevant fund(s). If you wish to reinvest your income, please tick this box

If you have not elected to have your income reinvested, you must complete the following boxes to indicate where any income should be paid, as all income is paid by BACS direct credit. Please note that you should ensure that the bank account detailed can accept payments by this method:

Name and full address of Bank/Building Society	Sort Code
	Account Name
	Account Number

Account must be in the name of the Applicant. Documented proof of this must be sent with this application.

4. Declaration

- I am 18 years of age or over.
- I have not subscribed and will not subscribe more than the maximum permitted in total to a cash and a stocks and shares ISA in the same tax year.
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees Serving Overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Elite Fund Administration if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.
- I authorise Elite Fund Administration:
 - to hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash,
 - to make on my behalf any claims to relief from tax in respect of ISA investments, and
 - on my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments and any other cash.
- I confirm that I have not subscribed, and will not subscribe, to another Stocks and Shares ISA for the same tax year(s) that I subscribe to this Account.

- I confirm that all subscriptions made, and to be made, belong to me.
- I agree to the ISA Terms and Conditions. I declare that I have received, read and retained a printed copy of the relevant Key Features document and that this application form has been completed to the best of my knowledge and belief.
- I understand that Elite Fund Administration may require further information from me, or about me, to satisfy the requirements of the Money Laundering Regulations in force.

Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook, cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate customer agreement with you, or dealt on your behalf on an execution-only basis. A copy of the Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If cancellation rights do apply, please tick this box

Data Protection Act

The details you have provided, will be held on computer by Elite Fund Administration, but will not be used for any purpose except to fulfil its obligations to shareholders.

Applicant's Signature	Date
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Please make sure you have:

- Signed the Application Form.
- Made your investment requirements clear.
- Completed the separate Direct Debit Mandate for a monthly savings plan, if applicable.
- Enclosed a cheque for the appropriate lump sum amount, payable to 'WAY Fund Managers Limited'.

Anti-Money Laundering Requirements

In order to comply with the UK law on money laundering, cheques must be drawn on your own account or a joint account with your spouse. If you ask your bank, building society or regulated financial adviser to draw the cheque, they must state on the cheque that the funds have been drawn from an account in your name. For example, the payee would be 'WAY Fund Managers Limited (Re: A. N. Other)'. Alternatively, ask them to write your name and address on the reverse of the cheque and add the bank/building society/financial adviser stamp and signature to confirm the money is drawn from your account. For a monthly savings plan, please ensure that verification of bank details is supplied with this application form.

Intermediary Comments:

Intermediary Stamp:

Name of Registered Individual:

Frenkel Topping Limited
Statham House, Talbot Road,
Old Trafford, Manchester M32 0FP
Telephone: 0161 886 8000 Facsimile: 0161 886 8002
Registered Office: as above Registered No. 2312427 England and Wales
Authorised and regulated by the Financial Services Authority

Elite Fund Administration
Cedar House, 3 Cedar Park, Cobham Road,
Wimborne, Dorset BH21 7SB
Telephone: 01202 855856 Facsimile: 01202 855850
Registered Office: as above Registered No. 4011838 England and Wales
Elite Fund Administration is a trading name of WAY Fund Managers Limited
which is authorised and regulated by the Financial Services Authority
A member of IMA