

EFA Clarion Portfolio Fund - Stocks and Shares ISA Application Form

1. Personal Details (BLOCK CAPITALS please)

Title	Surname	Forename(s)
Permanent Residential Address		
Postcode		
National Insurance Number*	Date of Birth*	
Telephone (daytime)		

If you do not have a National Insurance Number, please tick this box

*Your National Insurance Number (NINO), or National Pension Number and Date of Birth must be quoted. Your NINO should be available on either your payslip, form P45 or P60, a letter from HM Revenue & Customs (or DWP), or on your pension order book.

2. Investment Details

I apply to subscribe for the following investment in the **EFA Stocks and Shares ISA**:

EFA Clarion Portfolio Sub-Fund	Retail Share Type	Lump Sum (minimum £5,000 per sub-fund)	Monthly Savings (minimum £200 per month)
Portfolio 3	Income/Accumulation*	£	£
Portfolio 5	Income/Accumulation*	£	£
Portfolio 7	Income/Accumulation*	£	£

*Please delete as appropriate

Tax Year 20 / 20

and for each subsequent tax year until further notice.

3. Income

If you have selected Income Shares you must complete the following boxes to indicate where any income should be paid, as all income is paid by BACS direct credit. *Please note that you should ensure that the bank/building society account detailed can accept payments by this method.*

Name and full address of Bank/Building Society

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Sort Code

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Account Name

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Account Number

--

Account must be in the name of the Applicant

Documented proof of this must be sent with this application

4. Declaration

I am 18 years of age or over.

I have not subscribed and will not subscribe more than the maximum permitted in total to a cash and a stocks and shares ISA in the same tax year.

I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees Serving Overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Elite Fund Administration if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Elite Fund Administration:

- to hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash,
- to make on my behalf any claims to relief from tax in respect of ISA investments, and
- on my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments and any other cash.

I confirm that I have not subscribed, and will not subscribe, to another Stocks and Shares ISA for the same tax year(s) that I subscribe to this Account.

I confirm that all subscriptions made, and to be made, belong to me.

I agree to the ISA Terms and Conditions. I declare that I have received, read and retained a printed copy of the relevant Simplified Prospectus document for the EFA Clarion Portfolio Fund and the Terms and Conditions for the EFA ISA and that this application form has been completed to the best of my knowledge and belief.

I confirm that I have been offered a copy of the full Prospectus and the Authorised Corporate Director's Report for the EFA Clarion Portfolio Fund

I understand that Elite Fund Administration may require further information from me, or about me, to satisfy the requirements of the Money Laundering Regulations in force.

Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook, cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate customer agreement with you, or dealt on your behalf on an execution-only basis. A copy of the Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If cancellation rights **do not** apply, please tick this box

Data Protection Act

The details you have provided, will be held on computer by Elite Fund Administration, but will not be used for any purpose except to fulfil its obligations to shareholders.

Applicant's Signature

Date

Please make sure you have:

- Signed the Application Form.
- Made your investment requirements clear.
- Completed the separate Direct Debit mandate for a monthly savings plan, if applicable.
- Enclosed a cheque for the appropriate lump sum amount payable to **'WAY Fund Managers Limited'** (please also see the "Anti-Money Laundering" requirements below):

Anti-Money Laundering

In order to comply with the UK law on money laundering, cheques must be drawn on your own account or a joint account with your spouse. If you ask your bank, building society or regulated financial adviser to draw the cheque, they must state on the cheque that the funds have been drawn from an account in your name. For example, the payee would be **'WAY Fund Managers Limited (Re: A. N. Other)'**. Alternatively, ask them to write your name and address on the reverse of the cheque and add the bank/building society/financial adviser stamp and signature to confirm the money is drawn from your account. For a monthly savings plan, please ensure that verification of bank details is supplied with this application form.

Intermediary Comments:

Intermediary Stamp:

Name of Registered Individual:



Clarion plc
Marble Arch, King Street.
Knutsford, Cheshire WA16 6HD

Telephone: 01565 653804 Fax: 01565 653832
Registered Office: as above Registered No. 1908175 England & Wales
Authorised and regulated by the Financial Services Authority

Elite Fund Administration
Cedar House, 3 Cedar Park, Cobham Road,
Wimborne, Dorset BH21 7SB

Telephone: 01202 855856 Fax: 01202 855850
Registered Office: as above Registered No. 4011838 England & Wales
Elite Fund Administration is a trading name of WAY Fund Managers Limited
which is authorised and regulated by the Financial Services Authority
A member of IMA