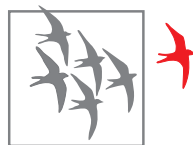


WAY Income Plan

APPLICATION FORM



WAY Fund Managers

WAY Income Plan – Application Form

1. Personal Details (BLOCK CAPITALS please)

Title	Surname
Forename(s)	
Permanent Address	
Postcode	
Date of Birth	
Designation (if applicable)	
Telephone (daytime)	

2. Personal Details of Joint Applicant

Title	Surname
Forename(s)	
Permanent Address	
Postcode	
Date of Birth	

Additional joint applicants (a maximum of 4 in total) must each sign and attach name and address details separately. Units/Shares cannot be registered in the name of a minor. Units/Shares should be registered in the name of an adult and designated with the minor's initials (eg. John Smith - account PBS). On attaining the age of majority, units/shares can be transferred to the designee upon receipt of the appropriate documentation.

3. WAY Income Plan

Investment (minimum £25,000) £

Annual Rate* % *Insert drawing rate between 3% and 7% per year

Income Reservoir

Linked to Accumulation shares/units in **one** of the following funds:

Elite Income Plan Cash Fund	<input type="checkbox"/>	Please tick one box
WAY Global Cautious Portfolio Fund	<input type="checkbox"/>	
WAY MA Cautious Portfolio Fund	<input type="checkbox"/>	
WAY Absolute Return Portfolio Fund	<input type="checkbox"/>	

Regardless of the period of deferral of drawings, your Plan will commence with an allocation to the Income Reservoir Fund equal to five times the selected drawing rate. The balance will be allocated to your chosen fund.

If your deferral of drawings is six months or more, and you wish re-balancing to take place during this period, please tick this box:

Drawings to commence

Month	Year	Frequency	† Delete as appropriate
<input type="text"/>	<input type="text"/>	Monthly/Quarterly †	<input type="checkbox"/>

Growth Pool

Linked to Accumulation shares/units in **one** of the following funds:

WAY Global Blue Portfolio Fund	<input type="checkbox"/>	Please tick one box
WAY Global Red Portfolio Fund	<input type="checkbox"/>	
WAY MA Growth Portfolio Fund	<input type="checkbox"/>	
WAY Green Portfolio Fund	<input type="checkbox"/>	
WAY Charteris Gold Portfolio Fund	<input type="checkbox"/>	
WAY Asian Spice Portfolio Fund	<input type="checkbox"/>	
WAY Freestyle Portfolio Fund	<input type="checkbox"/>	
WAY Hasley Global Momentum Fund	<input type="checkbox"/>	

4. ISA Investments

Important: Please complete only if part of the Investment stated in section 3 above is an ISA Investment

If you are including ISA investments (new or existing) as part of your Income Plan, please note that the default re-balancing order of your investments, to ensure the most tax-effective approach, will normally be as follows; 1. Non-ISA Holdings (joint applicants), 2. Non-ISA Holdings (1st named applicant only), 3. Non-ISA Holdings (2nd named applicant only), 4. ISA (1st named holder only), 5. ISA (2nd named holder only).

Please enter the Total Value of your Growth Pool Investments (full investment less Income Reservoir)

	Non ISA Investments	ISA Investments
Totals	£ <input type="text"/>	£ <input type="text"/>

If you wish to change the default re-balancing order, please enter the new order below, using the appropriate numbers from above.

Balancing Re-Order

5. Withdrawals

Please complete the following boxes to indicate where income should be paid, as all income is paid by BACS direct credit. *Please note that you should ensure that the bank account detailed can accept payments by this method.*

Name and full address of Bank/Building Society

Sort Code

Account Name

Account Number

Account must be in the name(s) of the Applicant(s)
Documented proof of this must be sent with this application

6. Declaration

- I/We confirm that I am/we are over 18 years of age.
- I/We confirm I/we have received, read and retained a copy of the relevant Simplified Prospectus document and that I/we have been offered a copy of the Prospectus and Manager's Reports for the Funds.
- I/we understand that the aim of the plan is to convert long-term capital profits from the growth investment into short-term regular drawings and that, depending on the growth of my/our chosen fund, these drawings may result in an erosion of my/our original capital. I/we further agree that I/we do not wish to receive contract notes in respect of any regular withdrawals from the plan.
- I understand that WAY Fund Managers may require further information from me, or about me, to satisfy the requirements of the Money Laundering Regulations in force.

Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate Customer Agreement with you or deal on your behalf on an execution-only basis. A copy of the Scheme Particulars/Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If Cancellation Rights do apply please tick this box

Data Protection Act

The details you have provided will be held on computer by WAY Fund Managers, but will not be used for any purpose except to fulfil its obligations to shareholders/unitholders.

First Applicant's Signature	Date
Joint Applicant's Signature	Date

WAY Stocks and Shares ISA (as part of WAY Income Plan) – Application Form

1. Personal Details (BLOCK CAPITALS please)

Title	Surname	Forename(s)
Permanent Residential Address		
Postcode		
National Insurance Number*	Date of Birth*	
Telephone (daytime)		

If you do not have a National Insurance Number, please tick this box

*Your National Insurance Number (NINO), or National Pension Number and Date of Birth must be quoted. Your NINO should be available on either your payslip, form P45 or P60, a letter from HM Revenue & Customs (or DWP), or on your pension order book.

2. Investment Details

I apply to subscribe for the following investment for the Income Plan **Growth Pool**:

WAY Stocks and Shares ISA

Fund	Unit/Share Type	Lump Sum (minimum £5,000 per fund)
WAY Global Blue Portfolio Fund	Accumulation Units only	£
WAY Global Red Portfolio Fund	Accumulation Units only	£
WAY MA Growth Portfolio Fund	Accumulation Shares only	£
WAY Green Portfolio Fund	Accumulation Shares only	£
WAY Charteris Gold Portfolio Fund	Accumulation Shares only	£
WAY Asian Spice Portfolio Fund	Accumulation Shares only	£
WAY Freestyle Portfolio Fund	Accumulation Shares only	£
WAY Hasley Global Momentum Fund	Accumulation Shares only	£
Total Investment		£

Tax Year 20 / 20

and for each subsequent tax year until further notice.

3. Declaration

I am 18 years of age or over.

I have not subscribed and will not subscribe more than the maximum permitted in total to a cash and a stocks and shares ISA in the same tax year.

I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown Employees Serving Overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform WAY Fund Managers if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise WAY Fund Managers:

- to hold my cash subscriptions, ISA investments, interest, dividends, and any other rights or proceeds in respect of those investments and any other cash,
- to make on my behalf any claims to relief from tax in respect of ISA investments, and
- on my request to transfer or pay to me, as the case may be, ISA investments, interest, dividends, rights or other proceeds in respect of such investments and any other cash.

I confirm that I have not subscribed, and will not subscribe, to another Stocks and Shares ISA for the same tax year(s) that I subscribe to this Account.

I confirm that all subscriptions made, and to be made, belong to me.

I agree to the ISA Terms and Conditions. I declare that I have received, read and retained a copy of the relevant Key Features document and that this application form has been completed to the best of my knowledge and belief.

I understand that you may require additional information from me in accordance with money laundering regulations.

Cancellation Rights

Under the Financial Services Authority's Conduct of Business Sourcebook, cancellation rights will apply where the contract was arranged through an independent intermediary, unless they hold an appropriate customer agreement with you or dealt on your behalf on an execution-only basis. A copy of the Scheme Particulars/Prospectus is available, free of charge, on request. A copy of this completed application form is also available on request.

If cancellation rights **do** apply, please tick this box

Data Protection Act

The details you have provided, will be held on computer by WAY Fund Managers, but will not be used for any purpose except to fulfil its obligations to unitholders.

Applicant's Signature

Date

Please make sure you have:

- Signed the Application Form.
- Made your investment requirements clear.
- Enclosed a cheque for the appropriate lump sum amount, payable to '**WAY Fund Managers Limited**'.

Anti-Money Laundering

In order to comply with the UK law on money laundering, cheques must be drawn on your own account or a joint account with your spouse. If you ask your bank or building society to draw the cheque, they must state on the cheque that the funds have been drawn from an account in your name. For example, the payee would be '**WAY Fund Managers (Re: A. N. Other)**'. Alternatively, ask them to write your name and address on the reverse of the cheque and add the bank/building society stamp and signature to confirm the money is drawn from your account. For a monthly savings plan, please ensure that verification of bank details is supplied with this application form.

Please return this form and your cheque to your Financial Adviser who will forward it to the address shown below:

Intermediary Comments:

Intermediary Stamp:

Name of Registered Individual:

WAY Fund Managers Limited
Cedar House, 3 Cedar Park, Cobham Road, Wimborne, Dorset BH21 7SB
Telephone: 01202 855856 Facsimile: 01202 855850
Registered No. 4011838 England and Wales
Authorised and regulated by the Financial Services Authority
A member of IMA

www.wayinvestments.com